

Alternative proofs for  
the Banach contraction principle

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$X$  MS

$T: X \rightarrow X$   $\alpha$ -contraction ( $0 < \alpha < 1$ )

$x \in X, \varepsilon > 0$

$$d(x, Tx) \leq (1-\alpha)\varepsilon \quad \text{--- (*)}$$

$\Rightarrow B_\varepsilon(x) : T$ -invariant

Proof

Let  $y \in B_\varepsilon(x)$

$$\text{i.e. } d(x, y) < \varepsilon \quad \text{--- (**)}$$

We show that  $Ty \in B_\varepsilon(x)$ .

$$\text{i.e. } d(x, Ty) < \varepsilon.$$

It holds true that

$$\begin{aligned} d(x, Ty) &\leq d(x, Tx) + d(Tx, Ty) \\ &\leq (1-\alpha)\varepsilon + \alpha d(x, y) \quad \downarrow \text{(*)} \\ &< (1-\alpha)\varepsilon + \alpha\varepsilon \quad \downarrow \text{(**)} \\ &= \varepsilon. \end{aligned}$$

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$X$  CMS

$T: X \rightarrow X$   $r$ -contraction ( $0 < r < 1$ )

$\Rightarrow \exists! x^* \in F(T): \forall x \in X, T^n x \rightarrow x^*$

Proof

Let  $x \in X$ , and define  $x_n = T^n x$  ( $n \in \mathbb{N} \cup \{0\}$ ).

$\{x_n\} \subset X$ : Cauchy seq.

It holds that

$$\begin{aligned} d(x_n, x_{n+1}) &= d(Tx_{n-1}, Tx_n) \\ &\leq r d(x_{n-1}, x_n) \\ &\leq \dots \leq r^n d(x_0, x_1) \rightarrow 0. \end{aligned} \quad \text{--- (*)}$$

Let  $\varepsilon > 0$ , and define  $\delta = (1-r)\varepsilon > 0$ .

From (\*), for  $\delta (= (1-r)\varepsilon) > 0$ ,

$$\exists n_0 \in \mathbb{N}: d(x_{n_0}, Tx_{n_0}) < \delta = (1-r)\varepsilon \leq \varepsilon.$$

Thus,  $B_\varepsilon(x_{n_0})$  is  $T$ -invariant. \ (\*\*)

From (\*\*),  $Tx_{n_0} = x_{n_0+1} \in B_\varepsilon(x_{n_0})$ .

$$\therefore Tx_{n_0+1} = x_{n_0+2} \in B_\varepsilon(x_{n_0}).$$

$$\therefore \{x_{n_0}, x_{n_0+1}, x_{n_0+2}, \dots\} \subset B_\varepsilon(x_{n_0}).$$

Therefore,  $\forall m, n \geq n_0, d(x_m, x_n) < 2\varepsilon$ .

This indicates that  $\{x_n\}$  is a Cauchy seq. }

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$X$  CMS

$T: X \rightarrow X$   $r$ -contraction ( $0 < r < 1$ )

$\Rightarrow \exists! x^* \in F(T): \forall x \in X, T^n x \rightarrow x^*$

Proof

Let  $x \in X$ , and define  $x_n = T^n x$  ( $n \in \mathbb{N} \cup \{0\}$ ).

Assume, w.l.g., that  $x_n \neq x_{n+1} \forall n \in \mathbb{N} \cup \{0\}$ .

It holds that

$$\begin{aligned} d(x_n, x_{n+1}) &= d(Tx_{n-1}, Tx_n) \\ &\leq r d(x_{n-1}, x_n). \end{aligned}$$

Then,

$$\begin{aligned} \log d(x_n, x_{n+1}) &\leq \log d(x_{n-1}, x_n) + \log r \\ &\leq \log d(x_{n-2}, x_{n-1}) + 2 \log r \\ &\leq \dots \\ &\leq \log d(x_0, x_1) + \underbrace{n \log r}_{< 0} \rightarrow -\infty \quad (*) \end{aligned}$$

$$\therefore \log d(x_n, x_{n+1}) \rightarrow -\infty$$

$$\therefore d(x_n, x_{n+1}) \rightarrow 0. \quad (**)$$

From (\*),

$$\sqrt{d(x_n, x_{n+1})} \left( \log d(x_n, x_{n+1}) - \log d(x_0, x_1) \right) \\ \leq \underbrace{n \log 2}_{< 0} \cdot \sqrt{d(x_n, x_{n+1})} \leq 0$$

From (\*\*),  $n \sqrt{d(x_n, x_{n+1})} \rightarrow 0$ .

$\therefore \exists n_0 \in \mathbb{N}$ :

$$n \geq n_0 \Rightarrow d(x_n, x_{n+1}) \leq \frac{1}{n^2}.$$

Let  $m > n \geq n_0$ .

Then,  $d(x_n, x_m)$

$$\leq d(x_n, x_{n+1}) + d(x_{n+1}, x_{n+2}) + \dots + d(x_{m-1}, x_m) \\ \leq \sum_{l=n}^{\infty} \frac{1}{l^2} < \infty.$$

As  $m, n \rightarrow \infty$ ,  $d(x_n, x_m) \rightarrow 0$ .

$\therefore \{x_n\} (c.x)$  is a Cauchy seq.  $\cdot$

## Alternative proofs

1. Prove the following:

Let  $T : X \rightarrow X$  be a contraction mapping with a parameter  $r \in (0, 1)$ , where  $X$  is a metric space. Select  $x \in X$  and  $\varepsilon > 0$  that satisfy  $d(x, Tx) < (1 - r)\varepsilon$ . Then,  $B_\varepsilon(x)$  is  $T$ -invariant, where  $B_\varepsilon(x) = \{z \in X : d(x, z) < \varepsilon\}$ .

2. Let  $X$  be a metric space and  $T : X \rightarrow X$  be a mapping that satisfies

$$d(Tx, Ty) \leq \frac{d(x, y)}{1 + d(x, y)}$$

for all  $x, y \in X$ . Let  $x \in X$  and let  $\varepsilon > 0$ . Define  $B_\varepsilon(x) = \{z \in X : d(x, z) < \varepsilon\}$ . Under what condition,  $B_\varepsilon(x)$  be  $T$ -invariant?

3. Prove the Banach contraction principle following the outline of the proof for  $\varphi$ -contractions.

4. Prove the fixed point theorem for Kannan mappings following the outline of the proof for  $\varphi$ -contractions.

5. Prove the Banach contraction principle following the outline of the proof for  $F$ -contractions.

6. Prove the fixed point theorem for Kannan mappings following the outline of the proof for  $F$ -contractions.